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November 18, 2009

**ADVICE 2404-E**  
**(U 338-E)**

PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA  
ENERGY DIVISION

**SUBJECT:** Modification of Preliminary Statement, Part Y and Part L,  
in Accordance With Decision 09-08-027

In compliance with the California Public Utilities Commission (Commission) Decision (D.)09-08-027, Southern California Edison Company (SCE) submits for filing the following changes to its tariff schedules. The revised tariff sheets are listed on Attachment A and are attached hereto.

**PURPOSE**

Pursuant to D.09-08-027, this advice filing sets forth SCE's authorized funding for Demand Response (DR) programs for years 2009 through 2011. In addition, this advice letter modifies Preliminary Statement, Part Y, the Demand Response Program Balancing Account (DRPBA), and Preliminary Statement, Part L, the Purchase Agreement Administration Cost Balancing Account (PAACBA). This advice filing also addresses the operation of existing DR programs, DR measurement and evaluation activities, and several new DR pilot programs to test DR-related technologies and integration with Edison SmartConnect™.

**BACKGROUND**

On June 2, 2008, and as revised on September 19, 2008, SCE filed its 2009-2011 DR Program Application (A.08-06-001) requesting funding for DR programs. Subsequently, the Commission consolidated A.08-06-001 with the other investor-owned utilities' (IOUs') 2009-2011 DR program applications in A.08-06-001 et al. On September 5, 2008, SCE along with the other IOUs filed a motion (Bridge Funding Motion) for funding and authorization to operate the 2008 authorized demand response programs in 2009, pending a final decision in A.08-06-001 et al. (the Bridge Funding Period). In the Bridge Funding Motion, SCE also requested authorization and funding to implement the Participating Load Pilot (PLP) in 2009 pending a final decision on the PLP in

A.08-06-001 et al. In D.08-12-038, the Commission authorized SCE to implement its 2008 DR programs and the PLP during the Bridge Funding Period and approved a total monthly budget of \$3.117 million (including the PLP budget). Ordering Paragraph 5 of D.08-12-038 directed that “[w]hen a final decision on the 2009-2011 programs is adopted, the IOUs shall adjust their applicable demand response balancing accounts . . . to reflect the demand response revenue requirement adopted in that decision effective January 1, 2009.”

On August 24, 2009, the Commission issued a final decision in A.08-06-001 et al., D.09-08-027, authorizing funding for SCE to conduct DR programs and pilots for the 2009-2011 program cycle. This decision adopts a three-year budget of \$188.806 million for SCE effective January 1, 2009, of which approximately \$38.773 million will support the DR Resource Purchase Agreements (DR Agreements) approved in the decision. Consistent with Ordering Paragraph 10 of D.09-08-027, SCE is filing this Tier 1 compliance advice letter.

### **DEMAND RESPONSE FUNDING LEVELS AND RATEMAKING**

The Commission, in D.09-08-027, authorized DR funding of \$188.806 million for the 2009-2011 three-year period. Included in this DR funding is \$38.773 million associated with the DR Agreements approved in the decision.<sup>1</sup> As discussed in more detail below, the Commission has established different ratemaking treatment for capacity payments and administration costs for the DR Agreements.

In addition to the funding authorized in D.09-08-027, the Commission, in the 2010-2012 Energy Efficiency program funding decision (D.09-09-047), authorized \$2.960 million for the DR activities for SCE’s 2009-2011 integrated Demand-Side Management programs, for a total three-year DR funding amount of \$191.766 million.

The Commission, in D.09-08-027, adopted SCE’s proposal to continue use of the existing one-way DRPBA to record the difference between the authorized DR funding and actually incurred DR program expenses. The DRPBA includes a Distribution sub-account and a Generation sub-account to track, for recovery purposes, those DR programs that are offered to, and costs recovered from: 1) Bundled Service Customers only (Generation sub-account) and 2) both Bundled Service Customers and Direct Access Customers (Distribution sub-account).

The table below shows the amount of the 2009-2011 DR program annual funding to be included in rate levels as authorized in D.09-08-027:

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<sup>1</sup> The \$38.773 million associated with the capacity purchase agreements includes \$37.524 million of capacity costs and \$1.249 million of administration costs.

**Annualized Demand Response Program Funding  
(\$000)**

<b>Years 2009-2011</b>	<b>Distribution</b>	<b>Generation</b>	<b>Total</b>
Total Three-Year Funding (per D.09-08-027)	\$186,165	\$2,641	\$188,806
Plus:			
Integrated Programs (per D.09-09-047)	\$2,960		\$2,960
Less:			
Capacity Payments (per D.09-08-027)	\$38,773		\$38,773
DRPBA-Related Funding	\$150,352	\$2,641	\$152,993
Annualized DRP Funding	\$50,117	\$880	\$50,998

Beginning in 2010, SCE will include \$50.117 million in distribution rates and \$0.880 million in generation rates for DR program funding plus \$0.591 million of Franchise Fees and Uncollectibles (FF&U), for a total of \$51.588 million in distribution and generation rate levels.<sup>2</sup>

As in the past, the DRPBA will operate over the entire three-year period 2009 through 2011. Any over- or under-collection recorded in the DRPBA in 2009 and 2010 will carry over to the next year. SCE will include the three-year operation of the DRPBA in SCE's April 2012 Energy Resource Recovery Account (ERRA) Reasonableness application for Commission approval of the recorded amounts, subject to audit, to confirm that recorded expenses were spent on approved programs. In that application, SCE will propose disposition of any over-collection remaining in the account at the end of 2011.

Consistent with the ratemaking adopted in D.09-08-027, SCE will include the annualized revenue requirement associated with the capacity payments under the DR Agreements in distribution rate levels of \$9.693 million (i.e., \$38.773 / 4 years), plus FF&U of \$0.112 million for a total of \$9.805 million.<sup>3</sup> SCE will record the difference between the authorized and actual administration costs in the PAACBA. In addition, the difference between the capacity payments included in distribution rate levels and the actual DR Agreement capacity costs will be recorded in the Base Revenue Requirement Balancing Account.

<sup>2</sup> The currently effective FF&U factor was authorized by the Commission in D.09-03-025.

<sup>3</sup> The revenue requirement for the capacity purchase agreement contract costs authorized by the Commission in D.09-08-027 is in addition to the revenue requirement for four capacity purchase agreement contracts authorized by the Commission in D.08-03-017.

## **PROPOSED TARIFF CHANGES**

Preliminary Statement, Part L, PAACBA, is revised to record the difference between the authorized and actual administrative costs.

Preliminary Statement, Part Y, DRPBA, is revised to denote the amount of the authorized 2009-2011 DR program annual funding to be included in rate levels pursuant to D.09-08-027.

## **TIER DESIGNATION**

Pursuant to General Order (GO) 96-B, Energy Industry Rule 5.1, this advice letter is submitted with a Tier 1 designation.

## **EFFECTIVE DATE**

This advice filing will become effective on December 18, 2009, the 30<sup>th</sup> calendar day after the date filed.

## **NOTICE**

Anyone wishing to protest this advice filing may do so by letter via U.S. Mail, facsimile, or electronically, any of which must be received no later than 20 days after the date of this advice filing. Protests should be mailed to:

CPUC, Energy Division  
Attention: Tariff Unit  
505 Van Ness Avenue  
San Francisco, California 94102  
E-mail: [inj@cpuc.ca.gov](mailto:inj@cpuc.ca.gov) and [mas@cpuc.ca.gov](mailto:mas@cpuc.ca.gov)

Copies should also be mailed to the attention of the Director, Energy Division, Room 4004 (same address above).

In addition, protests and all other correspondence regarding this advice letter should also be sent by letter and transmitted via facsimile or electronically to the attention of:

Akbar Jazayeri  
Vice President of Regulatory Operations  
Southern California Edison Company  
2244 Walnut Grove Avenue  
Rosemead, California 91770  
Facsimile: (626) 302-4829  
E-mail: [AdviceTariffManager@sce.com](mailto:AdviceTariffManager@sce.com)

Bruce Foster  
Senior Vice President, Regulatory Affairs  
c/o Karyn Gansecki  
Southern California Edison Company  
601 Van Ness Avenue, Suite 2040  
San Francisco, California 94102  
Facsimile: (415) 929-5540  
E-mail: [Karyn.Gansecki@sce.com](mailto:Karyn.Gansecki@sce.com)

There are no restrictions on who may file a protest, but the protest shall set forth specifically the grounds upon which it is based and shall be submitted expeditiously.

In accordance with Section 4 of GO 96-B, SCE is serving copies of this advice filing to the interested parties shown on the attached GO 96-B, R.07-01-041, and A.08-06-001 et al. service lists. Address change requests to the GO 96-B service list should be directed by electronic mail to [AdviceTariffManager@sce.com](mailto:AdviceTariffManager@sce.com) or at (626) 302-2930. For changes to all other service lists, please contact the Commission's Process Office at (415) 703-2021 or by electronic mail at [Process\\_Office@cpuc.ca.gov](mailto:Process_Office@cpuc.ca.gov).

Further, in accordance with Public Utilities Code Section 491, notice to the public is hereby given by filing and keeping the advice filing at SCE's corporate headquarters. To view other SCE advice letters filed with the Commission, log on to SCE's web site at <http://www.sce.com/AboutSCE/Regulatory/adviceletters>.

For questions, please contact Amy Liu at (626) 302-4019 or by electronic mail at [amy.liu@sce.com](mailto:amy.liu@sce.com).

**Southern California Edison Company**

Akbar Jazayeri

AJ:al:sq  
Enclosure

# CALIFORNIA PUBLIC UTILITIES COMMISSION

## ADVICE LETTER FILING SUMMARY ENERGY UTILITY

MUST BE COMPLETED BY UTILITY (Attach additional pages as needed)

Company name/CPUC Utility No.: Southern California Edison Company (U 338-E)

Utility type:

ELC       GAS  
 PLC       HEAT       WATER

Contact Person: James Yee

Phone #: (626) 302-2509

E-mail: [James.Yee@sce.com](mailto:James.Yee@sce.com)

E-mail Disposition Notice to: [AdviceTariffManager@sce.com](mailto:AdviceTariffManager@sce.com)

EXPLANATION OF UTILITY TYPE

ELC = Electric      GAS = Gas  
 PLC = Pipeline      HEAT = Heat      WATER = Water

(Date Filed/ Received Stamp by CPUC)

Advice Letter (AL) #: 2404-E      Tier Designation: 1

Subject of AL: Modification of Preliminary Statement, Part Y and Part L, in Accordance With Decision 09-08-027

Keywords (choose from CPUC listing): Compliance, Balancing Account, Agreements

AL filing type:  Monthly  Quarterly  Annual  One-Time  Other \_\_\_\_\_

If AL filed in compliance with a Commission order, indicate relevant Decision/Resolution #:

D.09-08-027

Does AL replace a withdrawn or rejected AL? If so, identify the prior AL: \_\_\_\_\_

Summarize differences between the AL and the prior withdrawn or rejected AL<sup>1</sup>: \_\_\_\_\_

Confidential treatment requested?  Yes  No

If yes, specification of confidential information:

Confidential information will be made available to appropriate parties who execute a nondisclosure agreement.

Name and contact information to request nondisclosure agreement/access to confidential information: \_\_\_\_\_

Resolution Required?  Yes  No

Requested effective date: 12/18/09      No. of tariff sheets: -8-

Estimated system annual revenue effect (%): \_\_\_\_\_

Estimated system average rate effect (%): \_\_\_\_\_

When rates are affected by AL, include attachment in AL showing average rate effects on customer classes (residential, small commercial, large C/I, agricultural, lighting).

Tariff schedules affected: Preliminary Statement, Parts L and Y, and Table of Contents

Service affected and changes proposed<sup>1</sup>: \_\_\_\_\_

Pending advice letters that revise the same tariff sheets: \_\_\_\_\_

<sup>1</sup> Discuss in AL if more space is needed.

**Protests and all other correspondence regarding this AL are due no later than 20 days after the date of this filing, unless otherwise authorized by the Commission, and shall be sent to:**

CPUC, Energy Division  
Attention: Tariff Unit  
505 Van Ness Ave.,  
San Francisco, CA 94102  
[inj@cpuc.ca.gov](mailto:inj@cpuc.ca.gov) and [mas@cpuc.ca.gov](mailto:mas@cpuc.ca.gov)

Akbar Jazayeri  
Vice President of Regulatory Operations  
Southern California Edison Company  
2244 Walnut Grove Avenue  
Rosemead, California 91770  
Facsimile: (626) 302-4829  
E-mail: [AdviceTariffManager@sce.com](mailto:AdviceTariffManager@sce.com)

Bruce Foster  
Senior Vice President, Regulatory Affairs  
c/o Karyn Gansecki  
Southern California Edison Company  
601 Van Ness Avenue, Suite 2040  
San Francisco, California 94102  
Facsimile: (415) 673-1116  
E-mail: [Karyn.Gansecki@sce.com](mailto:Karyn.Gansecki@sce.com)

Cal. P.U.C. Sheet No.	Title of Sheet	Cancelling Cal. P.U.C. Sheet No.
Revised 46061-E	Preliminary Statement Part L	Original 44041-E
Revised 46062-E	Preliminary Statement Part Y	Revised 44596-E
Revised 46063-E	Preliminary Statement Part Y	Revised 44597-E
Revised 46064-E	Preliminary Statement Part Y	Original 40392-E
Revised 46065-E	Preliminary Statement Part Y	Revised 45503-E
Revised 46066-E	Preliminary Statement Part Y	Original 40395-E
Revised 46067-E	Table of Contents	Revised 45933-E
Revised 46068-E	Table of Contents	Revised 45934-E

PRELIMINARY STATEMENT

Sheet 1

L. Purchase Agreement Administrative Costs Balancing Account (PAACBA)

1. Purpose:

The purpose of the PAACBA is to record differences between SCE's actual and authorized administrative costs associated with four (4) third-party demand response contracts and two (2) third-party demand response contracts authorized by the Commission in D.08-03-017 and D.09-08-027, respectively. (T)

2. Definitions:

a. Annual Authorized Administrative Costs

Annual Authorized Administrative Costs are SCE's administrative costs associated with third party demand response contracts as authorized by the Commission in D.08-03-017 and D.09-08-027. The authorized annual amounts (in \$ Thousands) are as follows: (T)

	D.08-03-017	D.09-08-027	Total	(N)
2008 =	\$ 865		\$ 865.0	
2009 =	\$ 780	\$ 362.2	\$ 1,142.2	
2010 =	\$ 750	\$ 312.2	\$ 1,062.2	
2011 =	\$ 655	\$ 287.2	\$ 942.2	
2012 =	\$ 625	\$ 287.1	\$ 912.1	
Total =	\$ 3,675	\$ 1,248.7	\$ 4,923.7	(N)

Authorized Annual Funding per D.08-03-017 equals \$735 (total divided by 5 years). (T)  
Authorized Annual Funding per D.09-08-027 equals \$312 (total divided by 4 years). (N)  
Authorized Annual Funding for 2009 - 2012 = \$1,047. (N)

b. Effective Date:

The Effective Date of the PAACBA is March 13, 2008.

c. Interest Rate

The Interest Rate shall be the most recent annual Federal Reserve three-month Commercial Paper Rate – Non-Financial, from the Federal Reserve Statistical Release H.15. If an annual non-financial rate is not published then SCE shall use the annual Federal Reserve three-month Commercial Paper Rate – Financial.

(Continued)

(To be inserted by utility)  
Advice 2404-E  
Decision 09-08-027

Issued by  
Akbar Jazayeri  
Vice President

(To be inserted by Cal. PUC)  
Date Filed Nov 18, 2009  
Effective Dec 18, 2009  
Resolution \_\_\_\_\_

PRELIMINARY STATEMENT

Sheet 1

Y. Demand Response Program Balancing Account (DRPBA)

1. Purpose:

The purpose of the DRPBA is to record the difference between the actual capital-related revenue requirement and O&M costs incurred by SCE and the authorized Demand Response Revenue Requirement approved by the Commission.

(T)

2. Definitions.

a. Authorized Annual DRP Funding Levels (Such amount shall exclude Franchise Fees and Uncollectible (FF&U) Accounts expense.)

(1) 2006 – 2008 Authorized Funding

Authorized in D.06-03-024, Resolution E-4020, and Resolution E-4028

(T)

Authorized Funding Levels (\$000)			
Year	Distribution	Generation <sup>1/</sup>	Total
2006	40,229	53	40,282
2007	40,229	53	40,282
2008	40,229	53	40,282
Subtotal	120,687	159	120,846

(C)

(C)

(2) 2009 – 2011 Authorized Funding

Authorized in D.09-08-027 and D.09-09-047

(N)

Authorized Funding Levels (\$000)			
Year	Distribution	Generation <sup>1/</sup>	Total
2009	62,055	880	62,935
2010	62,055	880	62,935
2011	62,055	880	62,935
Subtotal	186,165	2,641	188,806

Plus:  
Integrated Programs<sup>2/</sup> 2,960 - 2,960

Less:  
Capacity Payments<sup>3/</sup> 38,773 - 38,773

Total Authorized DRP  
Funding (DRPBA-Related) 150,352 (I) 2,641 (I) 152,993 (I)

Annualized DRP Funding  
(DRPBA-Related) <sup>3/</sup> 50,117 (I) 880 (I) 50,998 (I)

(N)

1/ Total Generation-related authorized revenue requirement are associated with the Critical Peak Pricing Program.

(C)

2/ The Commission in D.09-09-047 authorized \$2.96 million for DR activities for SCE's 2009 – 2011 integrated Demand-Side Management programs.

3/ The \$38.773 million associated with capacity purchase agreements includes \$37.524 million of capacity costs that record in the BRRBA (Preliminary Statement, Part YY) and \$1.249 million of administration costs that record in the PAACBA (Preliminary Statement, Part L), beginning in 2009 through 2012.

4/ These annualized amounts when included in rate levels each year through 2011 will include associated FF&U.

(C)

(N)

(N)

(Continued)

(To be inserted by utility)

Advice 2404-E  
Decision 09-08-027

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Akbar Jazayeri  
Vice President

(To be inserted by Cal. PUC)

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Effective Dec 18, 2009  
Resolution \_\_\_\_\_

PRELIMINARY STATEMENT

Sheet 2

(Continued)

Y. Demand Response Program Balancing Account (DRPBA) (Continued)

2. Definitions. (Continued)

a. Authorized Annual DRP Funding Levels (Continued)

(3) 2006 – 2008 Authorized Funding (T)

Authorized in SCE's GRC Proceeding (T)

SCE's GRC Revenue Requirement adopted by the Commission includes the following DRP-related distribution revenue requirements associated with the Air Conditioning Cycling Program (ACCP), Agriculture & Pumping - Interruptible Program (AP-I), and Demand Bidding Programs (DBP):

Authorized Distribution Funding Levels (\$000)

<u>Year</u>	<u>1/1/06</u>	<u>1/12/06</u>	<u>2007</u>	<u>2008</u>
ACCP	3,274	5,308	5,842	6,032
AP-I		229	237	245
DBP		424	455	470
<b>Total</b>	<b>3,274</b>	<b>5,961</b>	<b>6,534</b>	<b>6,747</b>

(4) 2009 Authorized Bridge Funding (D.08-12-038) (T)

Annual Amount (\$)

<u>Distribution</u>	<u>Generation<sup>1/</sup></u>	<u>Total</u>
33,408,596	149,736	33,558,332

<sup>1/</sup> Generation-related authorized revenues are associated with the Critical Peak Pricing Program.

b. Effective Date

The Effective Date of the DRPBA is January 1, 2006.

c. Interest Rate

The Interest Rate shall be the most recent annual Federal Reserve three-month Commercial Paper Rate – Non-Financial, from Federal Reserve Statistical Release H.15. If an annual non-financial rate is not published, SCE shall use the annual Federal Reserve three-month Commercial Paper Rate – Financial.

d. Monthly Distribution Percentages

The Monthly Distribution Percentages (MDPS) applicable to the DRP authorized funding levels shall be the applicable distribution MDPS or generation MDPS included in the Preliminary Statement YY – Base Revenue Requirement Balancing Account.

(Continued)

(To be inserted by utility)

Advice 2404-E  
Decision 09-08-027

Issued by

Akbar Jazayeri  
Vice President

(To be inserted by Cal. PUC)

Date Filed Nov 18, 2009  
Effective Dec 18, 2009  
Resolution \_\_\_\_\_

PRELIMINARY STATEMENT

Sheet 3

(Continued)

Y. Demand Response Program Balancing Account (DRPBA) (Continued)

3. Program and Funding Flexibility Not Requiring an Advice Filing

a. Fund Shifting Guidelines (T)

SCE shall have flexibility to reallocate 50% of funds between programs within a given budget category, provided that no program authorized and funded by the Commission will be terminated without prior authorization from the Commission. (T)

Nine (9) budget categories and one (1) budget category were authorized by the Commission in D.09-08-027 and in D.09-09-047, respectively. The 10 budget categories include emergency programs, price response programs, DR aggregator managed programs, DR enabled programs, pilots and SmartConnect™ enabled programs, statewide marketing program, evaluation and measurement, system support activities, marketing, education and outreach, and integrated demand-side management programs. (C)

b. Carry-Over Amounts

Any unspent DRP funds in each budget category (as defined in Section 3.a.) as of December 31 of each year shall be carried over in the account, within each respective budget category, into the subsequent calendar year through 2011.

c. Carry-Forward Amounts

Any DRP funds in each budget category (as defined in Section 3.a.) SPENT AS OF December 31 of each year, which are in excess of authorized amounts for that year, shall be carried forward in the account, within each respective budget category, to the subsequent calendar year through 2011. SCE shall not seek rate recovery of any over expenditure of the Authorized funding level remaining in the DRPBA at the end of 2011. (C)

(Continued)

(To be inserted by utility)

Advice 2404-E  
Decision 09-08-027

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Vice President

(To be inserted by Cal. PUC)

Date Filed Nov 18, 2009  
Effective Dec 18, 2009  
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PRELIMINARY STATEMENT

Sheet 4

(Continued)

Y. Demand Response Program Balancing Account (DRPBA) (Continued)

4. Program and Funding Flexibility Requiring Notice or Advice Filing

a. Fund Shifting

SCE shall file Tier 2 advice letters to shift program spending above adopted fund shifting guidelines (as defined in Section 3.a.) but within the authorized budgets adopted in D.09-08-027. (C)  
|  
(C)

b. Incremental Funding

SCE may file proposals by petition for modification to request additional funding beyond the total amount approved in D.09-08-027. (C)  
(C)

c. Filing Requirements

SCE may file a petition for modification of this decision to request to modify existing demand response programs or program options. SCE shall request new demand response programs only through a new application. SCE shall file an advice letter to eliminate an existing program(s) approved in D.09-08-027. (C)  
|  
|  
(C)

5. Operation of the DRPBA

Monthly entries to the DRPBA shall be determined as follows:

a. Distribution sub-account

- (1) A debit entry to reflect the actual distribution-related program costs associated with the DRP, excluding the TA&TI-related program expenses;
- (2) A debit entry to reflect the actual TA&TI-related program expenses;
- (3) A credit entry to record the result of multiplying the annual Distribution-related DRP Authorized funding level by the applicable Distribution MDP.

(D)

(Continued)

(To be inserted by utility)

Advice 2404-E  
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Vice President

(To be inserted by Cal. PUC)

Date Filed Nov 18, 2009  
Effective Dec 18, 2009  
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PRELIMINARY STATEMENT

Sheet 6

(Continued)

Y. Demand Response Program Balancing Account (DRPBA) (Continued)

6. Disposition of the DRPBA Balances

SCE will include the three year operation of the DRPBA in SCE's April 2012 ERRRA (T)  
 Reasonableness application for Commission approval of the recorded amounts,  
 subject to audit, to confirm that recorded expenses were spent on approved  
 programs. In that application, SCE will propose disposition of any over-collection  
 remaining in the account at the end of 2011. (T)

(Continued)

(To be inserted by utility)  
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(To be inserted by utility)  
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Sheet No.

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UU. NOT IN USE ..... -E

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(Continued)

(To be inserted by utility)

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Issued by

Akbar Jazayeri  
Vice President

(To be inserted by Cal. PUC)

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